# **BUPTTeam Participation at TAC 2016 Knowledge Base Population**

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#### Abstract

The Entity Discovery and Linking (EDL) track at NIST TAC-KBP2016 aims to extract named entity mentions from a source collection of textual documents in multiple languages (English, Chinese and Spanish), and link them to an existing Knowledge Base (KB). In this paper, we describe the BUPTTeam's system that participated in this track. The system consists of six components: 1) preprocessing; 2) mention recognition; 3) expansion; mention 4) candidates generation: 5) candidates ranking: 6) clustering. We describe our underlying approach, which relates to our previous work, and describe the novel aspects of the system in more detail.

# 1 Introduction

The goal of EDL track at Text Analysis Conference (TAC) 2016 is to automatically discover entity mentions from three languages (English, Chinese and Spanish) raw texts and link them to an entity from knowledge base, and cluster NIL mentions across languages.

Compared to the KBP2015 EDL task, the main differences are concluded as the follows:

- Target at a larger scale data processing, by increasing the size of source collections from 500 documents to 90,000 documents.
- Individual nominal mention is extended to five entity types (PER, ORG, GPE, LOC and FAC) and three languages (Chinese, English and Spanish).

In this paper, we present our system which builds on the elements of the system described in (Tan et al., 2015). Our contributions are summarized as follows:

- We use a semantic representation for entities and mentions using the stationary distribution through a random walk with restart on a mention-entity graph.
- We use heuristic grammatical rules to discover nominal mentions.
- We construct a word list to solve provincial and national abbreviations.

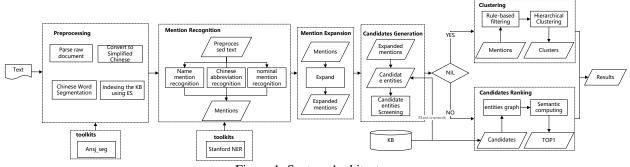


Figure 1: System Architecture

# 2 System Architecture

The architecture of our EDL system is described as Figure 1. It includes the following six components.

- 1) Preprocessing
- 2) Mention recognition
- 3) Mention expansion
- 4) Candidates generation
- 5) Candidates ranking
- 6) Clustering

# 2.1 Preprocessing

There are many xml tags in raw text, which influence mention recognition and the parts between "<quote>" and "</quote>" are also redundancy. So we remove these tags and parts.

There are many traditional Chinese words in raw texts and knowledge base. Text processing tools is good at processing simplified Chinese so that we convert traditional Chinese into simplified Chinese.

We use Ansj\_seg  $^{1}$  for Chinese word segmentation and Elasticsearch<sup>2</sup> for indexing the KB described in (Tan et al., 2015).

#### 2.2 Mention Recognition

We use Stanford NER<sup>3</sup> to recognize most mentions.

In addition, mentions representing authors can be directly extracted from the raw texts. Their type is PER and linking results are always NIL.

Nominal mention is expanded to five entity types (PER, ORG, GPE, LOC and FAC) and three languages (Chinese, English and Spanish). This is a new challenge. We use some heuristic grammatical rules to recognize nominal mentions.

In Chinese, two or more abbreviations representing states or provinces are often wrote as a whole, such as: "中美", where "中" refers to "China", "美" refers to "the United States". This phenomenon influences the performance of mention recognition, and so we collect the word list of provincial and national abbreviations to recognize those mentions.

# 2.3 Mention Expansion

Sometimes mentions are nickname, alias, acronyms or part of their full names. We use some

heuristic rules to expand these mentions into their surface forms by their context.

### 2.4 Candidates Generation

This step attempts to search potentially correct entities for mentions from Freebase. We generate a candidate set  $E_m$  for each mention m by Elasticsearch.

Too many candidates will make it hard to choose the right one. In order to scale the candidate set as small as possible, we filter the candidates according to some constraints.

#### 2.5 Candidates Ranking

In most cases, the size of  $E_m$  is larger than one. Therefore, we rank the candidates and select the top one by the random walk with restart algorithm.

#### 2.5.1 Mention-entity Graph Construction

# 1) Semantic Relation between Mention and Entity

The semantic relation SR(m, e) between mention m and entity e can be computed as follows:

$$SR(m,e) = \frac{\vec{m} \cdot \vec{e}}{|\vec{m}||\vec{e}|}$$
(1)

Where *m* is represented as a vector  $\vec{m}$  according to its context, and *e* is represented as a vector  $\vec{e}$  by its text description in Freebase. All words are weighted by the tf-idf schema.

#### 2) Semantic Relation between Entities

The semantic relation  $R(e_i, e_j)$  between  $e_i$  and  $e_j$  can be calculated as follows:

$$R(e_i, e_j) = \frac{w_{ij}}{\sum_{e_k \in OUT(e_i)} w_{ik}}$$
(2)

Where  $OUT(e_i)$  is the set of entities directly reachable from  $e_i$  and  $w_{ij}$  is the number of triples (entity  $e_i$ , relationship, entity  $e_j$ ) in Freebase (Guo, 2014).

#### 3) Mention-entity Graph

The mention-entity graph G = (V, E) is derived from a text *T* and Freebase. It is a weighted and directed graph. The set *V* contains the mentions discovered from *T* and entities retrieved from Freebase. And *E* is the set of edges which can be divided into two categories: 1) mention-to-entity edge. There are always edges reaching candidate entity *e* from mention *m*; 2) entity-to-entity edge. If the triple (entity  $e_i$ , relationship, entity  $e_j$ ) exists in Freebase, there is an edge from  $e_i$  to  $e_j$ . The

<sup>&</sup>lt;sup>1</sup> https://github.com/NLPchina/ansj\_seg

<sup>&</sup>lt;sup>2</sup> https://www.elastic.co/

<sup>&</sup>lt;sup>3</sup> http://nlp.stanford.edu/ner/

weights of mention-to-entity edge and entity-toentity are separately computed by SR(m, e) and  $R(e_i, e_i)$ .

The graph can capture mention-to-entity and entity-to-entity semantic relations. Sometimes it is too sparse to represent the global semantic coherence of a text. Therefore, we expand the graph by adding entities which are semantically related to more than one candidate. The process is illustrated by Figure 2.

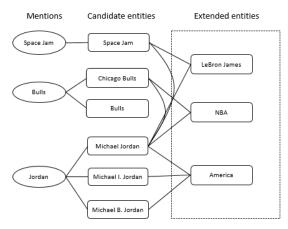


Figure 2: Expanded Graph

In Figure 2, the right dash rectangle shows extended entities that make the mention-entity graph dense and strongly connected.

# 2.5.2 Collective Entity Linking based on Random Walk with Restart

#### 1) Random Walk with Restart

Random walk with restart is a stochastic process that iteratively travels the global structure of the graph with certain probability walking from one node to its neighbors. After reaching stability, the resulting probability distribution represents the relatedness between nodes in the graph.

The starting node is represented as an initial vector s with  $s_i$  referring to the probability of starting from entity  $e_i$ . Details about initialization of vector s will be described in the next section. After initialization of s, we can perform the algorithm. The process of random walk with restart is illustrated by the following formulas.

$$r^0 = s \tag{3}$$

 $r^{t+1} = (1 - \alpha) \times r^t \times T + \alpha \times s$  (4) Where  $r^t$  is the probability distribution at iteration t. Making  $r^{t+1} = r^t$ , stationary distribution can be calculated as follows:

$$r = \alpha (I - cT)^{-1} s, \ c = 1 - \alpha$$
 (5)

Later we will use the stationary distribution as the semantic features of mentions or entities. Semantic features capture their relevance to others in the graph.

#### 2) Semantic Feature of an Entity

In order to get semantic feature of an entity  $e_i$ , we need to let  $e_i$  be the starting node. This can be done by setting the initial vector **s** with  $s_i = 1$ , and  $s_{i(i\neq i)}=0$ .

# 3) Semantic Feature of a Mention

When computing semantic feature of mention m, the initial vector s can be calculated as follows:

$$s_i = \frac{SR(m,e_i)}{\sum_{e_k \in E_m} SR(m,e_k)} \tag{6}$$

Where  $s_i$  is the probability of starting random walk from  $e_i$ ,  $E_m$  is the candidate set of m.

With the initial vector s, the semantic feature of m can be computed by using a random walk with restart in the graph.

#### 4) Semantic Relatedness

Let  $SF(e_i)$  be the semantic feature of entity  $e_i \in E_m$ , and SF(m) be the semantic feature of mention*m*. We use Hellinger distance to measure the difference of two probability distributions *P* and *Q*, which can be computed as follows:

$$H(P,Q) = \frac{1}{\sqrt{2}} \sqrt{\sum_{i=1}^{K} (\sqrt{p_i} + \sqrt{q_i})^2}$$
(7)

The semantic similarity  $SS(m, e_i)$  between m and  $e_i$  is calculated as the following formula:

$$SS(m, e_i) = \frac{1}{H(SF(m), SF(e_i))}$$
(8)

#### 5) Iterative Entity Linking Algorithm

Traditional collective entity linking methods link all mentions at the same time. Hence these methods rely heavily on the graph built from a text. Because mentions are always ambiguous, the initial graph brings in many noisy entities, resulting in a poor performance of the entity linking. In order to address this issue, we introduce an iterative entity linking algorithm which takes the linking results of previous iterations into consideration to prune irrelevant candidate entities and update weights of edges in the graph.

We take an easy-first strategy. If there is only one candidate for a given mention, we link the mention to the candidate. If there are more than two candidates for a given mention, we perform the following steps: (1) Use random walk with restart to obtain semantic features of mentions and entities; (2) Compute the semantic similarity measures between the mention and corresponding candidates; (3) Select the candidate with the highest score which exceeds a certain threshold. If the highest score is less than the threshold, NIL is assigned to the mention. If there are also mentions left to be linked, we use the previous linking results to update the graph, and preform the next iteration.

#### 2.6 Clustering

If the candidate set  $E_m$  is empty, the linking result of mention *m* is NIL. We cluster the NIL mentions as the following two steps.

Firstly, NIL mentions are clustered by the strict rules:

1) All NIL mentions are divided into five types (PER, ORG, GPE, LOC and FAC);

2) If mention  $m_i$  and mention  $m_j$  meet any of the following conditions, we divide them into the same cluster:

- Mention  $m_i$  and mention  $m_j$  have the same surface string;
- Mention  $m_i$  is the prefixes or suffixes of mention  $m_i$ ;
- Mention  $m_j$  is the prefixes or suffixes of mention  $m_i$ ;

After the rough division, according to Harris's distributed hypothesis, if two words have similar context, their semantics are similar. We convert the mention's context into vector representation and use hierarchical clustering algorithm for clustering.

# **3** Results and Discussion

Table 1 lists the performance of NER and NER classification. The best result is in bold.

Table 1: The results of NER and classification of entity/mention type

	strong_typed_mention_match					
	Р	R	F1			
English	0.866	0.602	0.71			
Chinese	0.81	0.609	0.695			
Spanish	0.725	0.569	0.638			
All	0.804	0.595	0.684			

Table 2 describes the linking performance without NIL mentions. The best score is in bold.

Table 2:	The performance of	of linking to th	ne reference
	KB		

ND							
	strong_all_match P R F1						
English	0.744	0.496	0.595				
Chinese	0.787	0.591	0.675				
Spanish	0.642	0.504	0.565				
All	0.728	0.532	0.615				

The performance NIL clustering is shown in the Table 3. The best score is in bold.

	P R F1					
English	0.817	0.521	0.636			
Chinese	0.821	0.617	0.704			
Spanish	0.694	0.545	0.611			
All	0.757	0.553	0.639			

Table 4 describes all kinds of evaluation measures on five mention types. The best result is in bold.

All kinds of evaluation measures on two different text genres are shown in Table 5.

14010 1.110	Table 4. The results of NER, NER Classification, Elinking and Clustering on the pre-defined rive rypes								
	strong_typed_mention_match			strong_all_match			mention_ceaf		
	Р	R	F1	Р	R	F1	Р	R	F1
PER	0.865	0.709	0.779	0.732	0.599	0.659	0.72	0.59	0.648
ORG	0.545	0.364	0.437	0.416	0.277	0.333	0.499	0.333	0.4
LOC	0.578 0.225		0.324	0.516	0.2	0.289	0.58	0.225	0.325
GPE	GPE 0.887 0.728 0.8					0.745	0.843	0.692	0.76
FAC	0.353	0.017	0.032	0.324	0.015	0.029	0.353	0.017	0.032

Table 4: The results of NER, NER Classification, Linking and Clustering on the pre-defined Five Types

Table 5: The results of NER, NER Classific	the Different Text Genres	
strong typed mention match	strong all match	mention ceaf

	Р	R	F1	Р	R	F1	Р	R	F1
NW	0.812	0.563	0.665	0.689	0.478	0.565	0.747	0.518	0.612
DF	0.798	0.629	0.703	0.75	0.591	0.661	0.758	0.598	0.669

#### 4 Conclusions

We built a complete and robust system, including mention recognition, mention expansion, candidates generation, candidates ranking and clustering. In our work, we use the probability distribution resulting from a random walk with restart on a mention-entity graph to represent the semantics of entities and mentions. The semantic representation uses relevant entities from Freebase as features, thus reducing data sparseness.

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