

# A Baseline Fine-Grained Entity Extraction System for TAC-KBP2019

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## Abstract

For fine-grained entity extraction, we propose a fine-grained entity typing model with a novel attention mechanism and a hybrid type classifier. We advance existing methods in two aspects: feature extraction and type prediction. To capture richer contextual information, we adopt contextualized word representations instead of fixed word embeddings used in previous work. In addition, we propose a two-step mention-aware attention mechanism to enable the model to focus on important words in mentions and contexts. We also develop a hybrid classification method beyond binary relevance to exploit type interdependency with latent type representation. Instead of independently predicting each type, we predict a low-dimensional vector that encodes latent type features and reconstruct the type vector from this latent representation.

## 1 Introduction

To assist the coordination of TAC-KBP2019, UIUC team has developed a simple system for fine-grained entity extraction to serve as a baseline, for comparing other more sophisticated methods and also testing the integration of docker containers into NIST platform.

## 2 Named Mention Extraction

### 2.1 Coarse-grained Named Mention Extraction

We implement an LSTM-CNN model with ELMo contextualized word representations to extraction named mentions. The basic model consists of an embedding layer, a character-level network, a bidirectional long-short term memory (LSTM) layer, a linear layer, and a conditional random fields (CRF) layer. In this architecture, each sentence is represented as a sequence of vectors  $\mathbf{X} = \{\mathbf{x}_1, \dots, \mathbf{x}_L\}$ , where  $\mathbf{x}_i$  represents features of the  $i$ -th word. We

use two types of features in our model: 1. *Word embedding* that encodes the semantic information of words. 2. *Character-level representation* that captures subword information. We utilize character features as word embeddings take words as atomic units and ignore useful subword clues, and pre-trained word embeddings are not available for unknown words and a large number of rare words.

The LSTM layer then processes the sentence in a sequential manner and encodes both contextual and non-contextual features of each word  $\mathbf{x}_i$  into a hidden state  $\mathbf{h}_i$ . After that, we decode the hidden state into a score vector  $\mathbf{y}_i$  with a linear layer. The value of each component of  $\mathbf{y}_i$  represents the predicted score of a label. However, as the label of each token is predicted separately, the model may produce a path of inconsistent tags such as [B-GPE, I-GPE, S-GPE]. Therefore, we add a CRF layer on top of the model to capture tag dependencies and predict a global optimal tag path for each sentence. Given an sentence  $\mathbf{X}$  and scores predicted by the linear layer  $\mathbf{Y} = \{\mathbf{y}_1, \dots, \mathbf{y}_L\}$ , the score of a sequence of tags is calculated as:

$$s(\mathbf{X}, \hat{z}) = \sum_{i=1}^{L+1} A_{\hat{z}_{i-1}, \hat{z}_i} + \sum_{i=1}^L y_{i, \hat{z}_i},$$

where each entry  $A_{\hat{z}_{i-1}, \hat{z}_i}$  is the score of jumping from tag  $\hat{z}_{i-1}$  to tag  $\hat{z}_i$ , and  $y_{i, \hat{z}_i}$  is the  $\hat{z}_i$  dimension of  $\mathbf{y}_i$  that corresponds to tag  $\hat{z}_i$ . We append two special tags <start> ( $\hat{z}_0$ ) and <end> ( $\hat{z}_{L+1}$ ) to denote the beginning or end of a sentence. Finally, we maximize the sentence-level log-likelihood of the gold tag path  $z$  given the input sentence by

$$\begin{aligned} \log p(z|\mathbf{X}) &= \log \left( \frac{e^{s(\mathbf{X}, z)}}{\sum_{\hat{z} \in Z} e^{s(\mathbf{X}, \hat{z})}} \right) \\ &= s(\mathbf{X}, z) - \log \sum_{\hat{z} \in Z} e^{s(\mathbf{X}, \hat{z})}, \end{aligned}$$

where  $Z$  denotes the set of all possible paths.

For English, we improve the model by incorporating ELMo contextualized word representations. We use a pre-trained ELMo encoder to generate the contextualized word embedding  $c_i$  for each token and concatenate it with  $h_i$ .

We train separate models for named, nominal, and pronominal mentions and merge their outputs into the final mention extraction result.

We also explore a reliability-aware dynamic feature composition mechanism to obtain better representations for rare and unseen words. We design a set of frequency-based reliability signals to indicate the quality of each word embedding. These signals control mixing gates at different levels in the model. For example, if a word is rare, the model will rely less on its pre-trained word embedding, which is usually not well trained, but assign higher weights to its character and contextual features.

## 2.2 Fine-grained Name Mention Extraction

Fine-grained entity typing is performed on the mention extraction result. We develop an attentive classification model (Lin and Ji, 2019) that takes a mention with its context sentence and predicts the most possible fine-grained type. Unlike previous neural models that generally use fixed word embeddings and task-specific networks to encode the sentence, we employ contextualized word representations (Peters et al., 2018) that can capture word semantics in different contexts.

After that, we use a novel two-step attention mechanism to extract crucial information from the mention and its context as follows

$$\mathbf{m} = \sum_M^i a_i^m \mathbf{r}_i,$$

$$\mathbf{c} = \sum_C^i a_i^c \mathbf{r}_i,$$

where  $\mathbf{r}_i \in \mathbb{R}^{d_r}$  is the vector of the  $i$ -th word,  $d_r$  is the dimension of  $\mathbf{r}$ , and attention scores  $a_i^m$  and  $a_i^c$  are calculated as

$$a_i^m = \text{Softmax}(\mathbf{v}^{m\top} \tanh(\mathbf{W}^m \mathbf{r}_i)),$$

$$a_i^c = \text{Softmax}(\mathbf{v}^{c\top} \tanh(\mathbf{W}^c(\mathbf{r}_i) \oplus \mathbf{m} \oplus p_i)),$$

$$p_i = \left(1 - \mu\left(\min(|i - a|, |i - b|) - 1\right)\right)^+,$$

where parameters  $\mathbf{W}^m \in \mathbb{R}^{d_a \times d_r}$ ,  $\mathbf{v}^m \in \mathbb{R}^{d_a}$ ,  $\mathbf{W}^c \in \mathbb{R}^{d_a \times (2d_r + 1)}$ , and  $\mathbf{v}^c \in \mathbb{R}^{d_a}$  are learned during training,  $a$  and  $b$  are indices of the first and last words of the mention,  $d_a$  is set to  $d_r$ , and  $\mu$  is set to 0.1.

Next, we adopt a hybrid type classification model consisting of two classifiers. We first learn a matrix  $\mathbf{W}^b \in \mathbb{R}^{d_t \times 2d_r}$  to predict type scores by

$$\tilde{\mathbf{y}}^b = \mathbf{W}^b(\mathbf{m} \oplus \mathbf{c}),$$

where  $\tilde{y}_i^b$  is the score for the  $i$ -th type.

We also learn to predict the latent type representation from the feature vector using

$$\mathbf{l} = \mathbf{V}^l(\mathbf{m} \oplus \mathbf{c}),$$

where  $\mathbf{V}^l \in \mathbb{R}^{2d_r \times d_l}$ . We then recover a type vector from this latent representation using

$$\tilde{\mathbf{y}} = \mathbf{U}\Sigma\mathbf{l},$$

where  $\mathbf{U}$  and  $\Sigma$  are obtained via Singular Value Decomposition (SVD) as

$$\mathbf{Y} \approx \tilde{\mathbf{Y}} = \mathbf{U}\Sigma\mathbf{L}^\top,$$

where  $\mathbf{U} \in \mathbb{R}^{d_t \times d_l}$ ,  $\Sigma \in \mathbb{R}^{d_l \times d_t}$ ,  $\mathbf{L} \in \mathbb{R}^{N \times d_t}$ , and  $d_l \ll d_t$ . Finally, we combine scores from both classifier

$$\tilde{\mathbf{y}} = \sigma(\mathbf{W}^b(\mathbf{m} \oplus \mathbf{c}) + \gamma\mathbf{W}^l\mathbf{l}),$$

where  $\gamma$  is set to 0.1. The training objective is to minimize the cross-entropy loss function as

$$J(\theta) = -\frac{1}{N} \sum_i \mathbf{y}_i \log \tilde{\mathbf{y}}_i + (1 - \mathbf{y}_i) \log(1 - \tilde{\mathbf{y}}_i).$$

Furthermore, we get the YAGO fine-grained types by linking entities to the Freebase (LDC2015E42), and mapped them to AIDA entity types. Besides, for GPE and LOC entities, we link them to GeoNames<sup>1</sup> and decide their fine-grained types using GeoNames attributes *feature\_class* and *feature\_code*. We compute a weighted score for these typing results and normalize the score as typing confidence.

<sup>1</sup><http://geonames.org/>

## References

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